



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 PO Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

IFW

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/767,470	01/30/2004	Keisuke Suzuki	33082M192

Michael A. Makuch
 Smith, Gambrell & Russell
 Suite 800
 1850 M Street, N.W.
 Washington, DC 20036

CONFIRMATION NO. 3610
FORMALITIES LETTER



OC000000012961444

Date Mailed: 06/16/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/13/2004 SHINASS1 00000018 10767470

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1203	290.00 OP
03 FC:1051	130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$290** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1190** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$290**
 - \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



033082M192

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:)
Keisuke Suzuki, et al.)
Serial No.: 10/767,470) Art Unit : 2812
Filed : January 30, 2004) Examiner : To Be Assigned
For : METHOD OF OXIDIZING WORK PIECES AND OXIDATION SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION, FILED UNDER 37 C.F.R. 1.53(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed June 16, 2004, Applicants submit herewith the following documents relating to the above-captioned patent application:

- * A copy of the Notice to File Missing Parts of Application;
- * Executed Declaration/Power of Attorney;
- * Assignment Recordation Cover Sheet and Executed Assignment;
- * Check for \$1230 to cover the filing fee (\$770), assignment recordation (\$40), surcharge (\$130) and multiple dependent claim charge (\$290).

If any additional fees are due in connection with this filing, such as fees under 37 C.F.R. §§ 1.16 or 1.17, the Commissioner is authorized to charge the fees to SGR Deposit Account No. 02-4300. Similarly, please credit any overpayment to SGR Deposit Account No. 02-4300.

Respectfully submitted,
SMITH, GAMBRELL & RUSSELL, LLP

By:


Michael A. Makuch, Reg. No. 32,263
1850 M Street, N.W., Suite 800
Washington, D.C. 20036
Telephone: (202) 263-4300
Facsimile: (202) 263-4329

Date: August 12, 2004

O P I E JC/SP
APR 1 2004
PATENT & TRADEMARK OFFICE

*Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	\$1,230.00
--------------------------------	-------------------

Complete if Known

Application Number	10/767,470
Filing Date	January 30, 2004
First Named Inventor	Keisuke SUZUKI, et al.
Examiner Name	To be assigned
Group / Art Unit	2812
Attorney Docket No.	033082 M 192

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Other None
Order

Deposit Account:

Deposit Account Number

02-4300

Deposit Account Name

Smith, Gambrell & Russell, LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity
--------------	--------------

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	375	Utility filing fee	\$770.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				\$770.00	

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
13	20	= 0 X \$18.00	= 0
Independent Claims	3	= 0 X \$86.00	= 0
Multiple Dependent		X \$290.	= 290.

Large Entity	Small Entity
--------------	--------------

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				\$ 1,060.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity
Fee Code	Fee (\$)
1051	130
1052	50
1053	130
1812	2,520
1804	920*
1805	1,840*
1251	110
1252	410
1253	930
1254	1,450
1255	1,970
1401	320
1402	320
1403	280
1451	1,510
1452	110
1453	1,300
1501	1,300
1502	470
1503	630
1460	130
1807	50
1806	180
8021	40
1809	750
1810	750
1801	750
1802	900
Other fee (specify) Petitions To The Commissioner (37 CFR 1.17(h))	
*Reduced by Basic Filing Fee Paid	
SUBTOTAL (3)	
\$ 170.00	

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Michael A. Makuch	Registration No. Attorney/Agent)	32,263	Telephone	202-263-4300
Signature			Date	August 12, 2004	